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**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

11321-P073WOUS

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/560551
IT IS A SIGNEDINTERNATIONAL APPLICATION NO.
PCT/US2004/019015INTERNATIONAL FILING DATE
JUNE 16, 2004PRIORITY DATE CLAIMED
JUNE 16, 2003

TITLE OF INVENTION

SIDEWALL FUNCTIONALIZATION OF NANOTUBES WITH HYDROXYL TERMINATED MOIETIES

APPLICANT(S) FOR DO/EO/US
KHABASHESKU, ET AL.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 107560351 TO BE ASSIGNED	INTERNATIONAL APPLICATION NO. PCT/US2004/019015	ATTORNEY'S DOCKET NUMBER 11321-P073WOUS																																																																																																												
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The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</td> <td colspan="2"></td> </tr> <tr> <td>Total Sheets</td> <td>Extra Sheets</td> <td>Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td>RATE</td> </tr> <tr> <td>- 100 =</td> <td>/50 =</td> <td>x \$250</td> <td>\$</td> </tr> <tr> <td colspan="2">Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).</td> <td colspan="2">\$</td> </tr> <tr> <td>CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> </tr> <tr> <td>Total claims</td> <td>33 - 20 =</td> <td>13</td> <td>x \$ 50</td> </tr> <tr> <td>Independent claims</td> <td>5 - 3 =</td> <td>2</td> <td>x \$200</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$360</td> <td>\$</td> </tr> <tr> <td colspan="2"></td> <td>TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 1,950.00</td> </tr> <tr> <td colspan="2"><input checked="" type="checkbox"/> Applicant claims small entity status. 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IAP9 Rec'd PCT/PTO 12 DEC 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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- a. A check in the amount of \$ 975.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. 23-2426 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-2426. A duplicate copy of this sheet is enclosed.
- d. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Robert C. Shaddox
 Winstead Sechrest & Minick P.C.
 P.O. Box 50784
 Dallas, Texas 75201



SIGNATURE
 Robert C. Shaddox
 NAME
 34,011
 REGISTRATION NUMBER

10/560351
IAPP Rec'd PCT/PTO 12 DEC 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Khabashesku, et al.

Serial No: TO BE ASSIGNED

Filing Date: December 12, 2005

Title: *Sidewall Functionalization of Nanotubes with Hydroxyl Terminated Moieties*

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF EXPRESS MAILINGExpress Mailing Label Number: EL812638018US

This Transmittal Letter to the U.S. Designated/Elected Office, Application Data Sheet, copy of PCT publication WO 2005/028740 A2, executed Declaration, check and return postcard are addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, and this package is being deposited with the U.S. Postal Service with sufficient postage as "Express Mail - Post Office to Addressee" service, on December 12, 2005.

By: Jeanne E Dunn

Printed: Jeanne E. Dunn

WINSTEAD SECHREST & MINICK, P.C.

P.O. Box 50784
Dallas, Texas 75250-0784
Tel (713) 650-2632
Fax (214) 745-5390